

Spin-bounded correlations: rotation boxes
within and beyond quantum theory



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Spin-bounded correlations: rotation boxes within and beyond quantum theory

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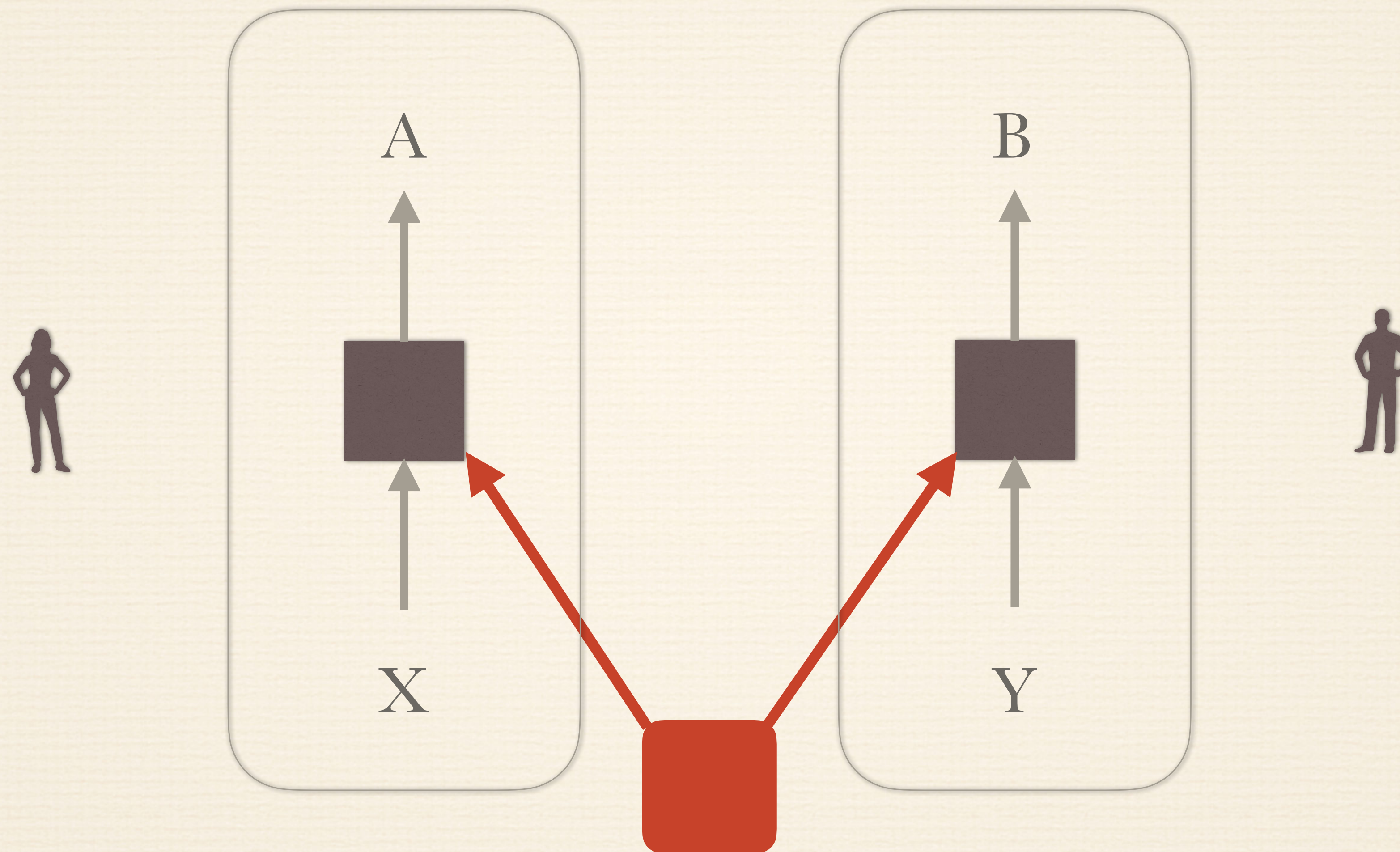
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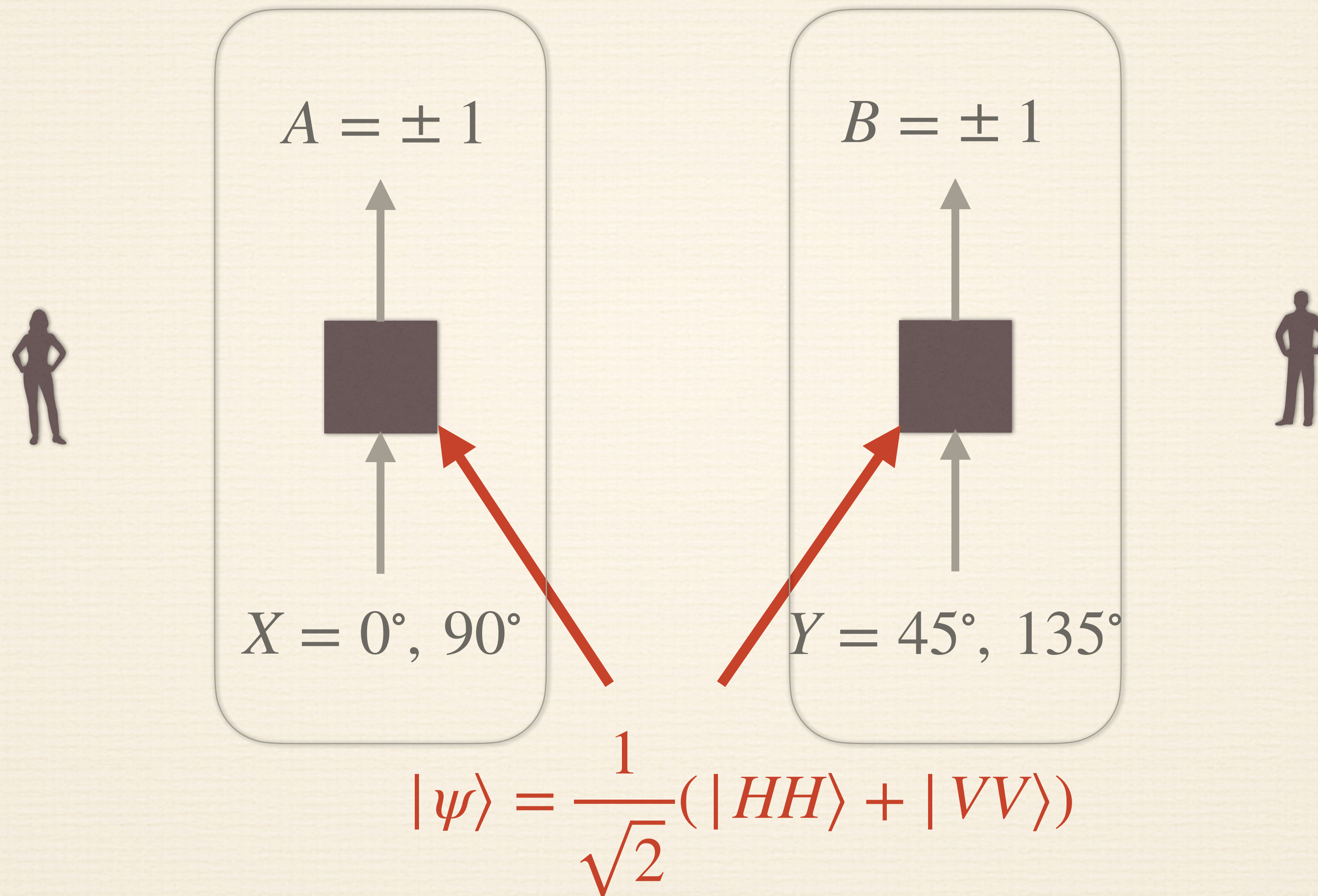
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A lesson from Bell's theorem



A lesson from Bell's theorem



A lesson from Bell's theorem

$$p(A, B | X, Y, \psi) = \begin{pmatrix} p(+1, +1 | 0^\circ, 45^\circ, \psi) \\ \vdots \\ p(-1, -1 | 90^\circ, 135^\circ, \psi) \end{pmatrix} \in \mathbb{R}^{16}$$

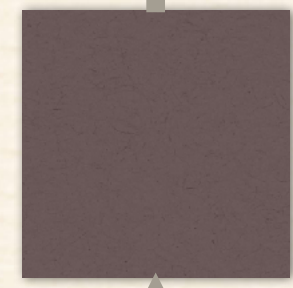
$$P_Q := \{p(A, B | X, Y, \psi) | \psi, X, Y\}$$

Set of correlations for all possible
quantum states and 2 outcomes
measurements

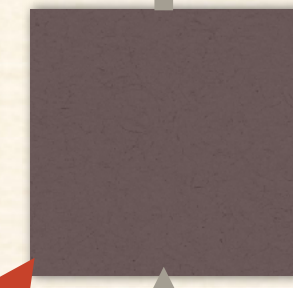
A lesson from Bell's theorem

A = Square/Circle ,
Green/Red

B = Square/Circle ,
Green/Red



X = shape, colour



Y = shape, colour



$$P = \frac{1}{3} \text{ (red circle, green square)} + \frac{1}{3} \text{ (red circle, red square)} + \frac{1}{3} \text{ (red square, green circle)}$$

A lesson from Bell's theorem

$$p(A, B | X, Y, P) = \begin{pmatrix} p(G, G | C, C, P) \\ \vdots \\ p(\square, \square | S, S, P) \end{pmatrix} \in \mathbb{R}^{16}$$

P_C set of correlations obtained when Alice and Bob share a classical system

$$p(A, B | X, Y, P) = \sum_{\lambda} p(\lambda | P) p(A | X, \lambda) p(B | Y, \lambda)$$

A lesson from Bell's theorem

P_C Classical correlations

$$p(A, B | X, Y, P) = \sum_{\lambda} p(\lambda | P) p(A | X, \lambda) p(B | Y, \lambda)$$

P_Q Quantum correlations

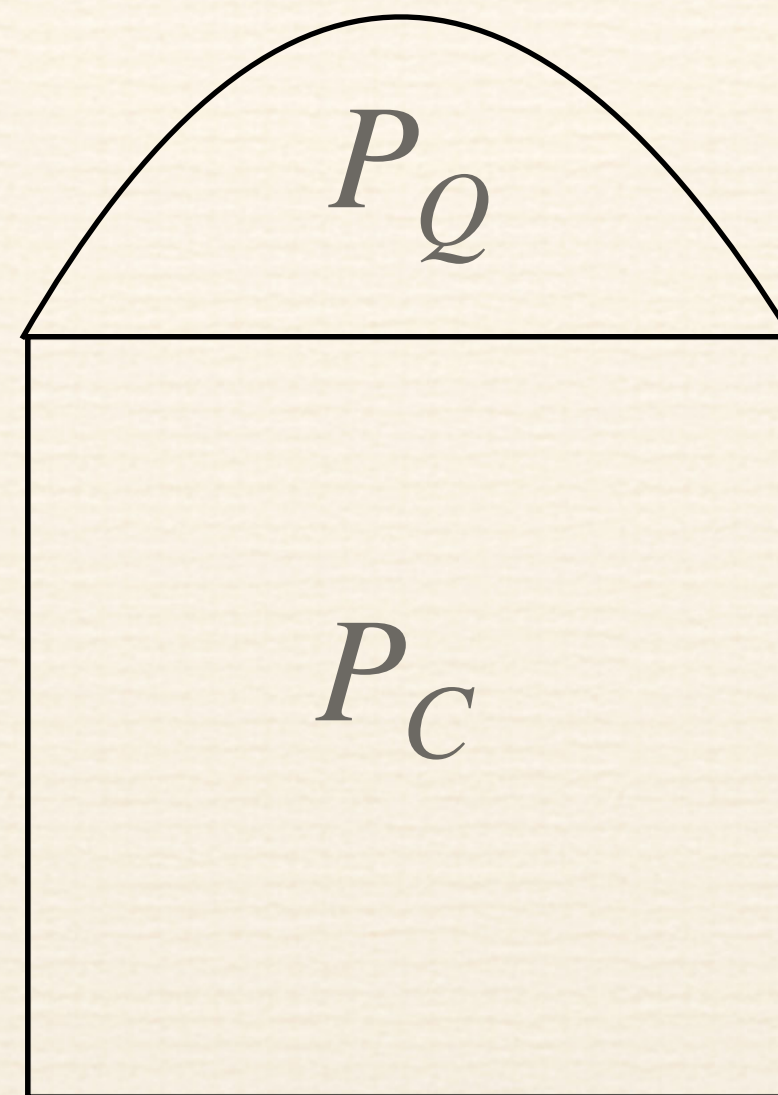
$$p(A, B | X, Y, P) = \text{Tr}(\rho_P(X_A \otimes Y_B))$$

A lesson from Bell's theorem

$$P_C \subseteq P_Q$$

Bell's theorem

$$P_C \subsetneq P_Q$$



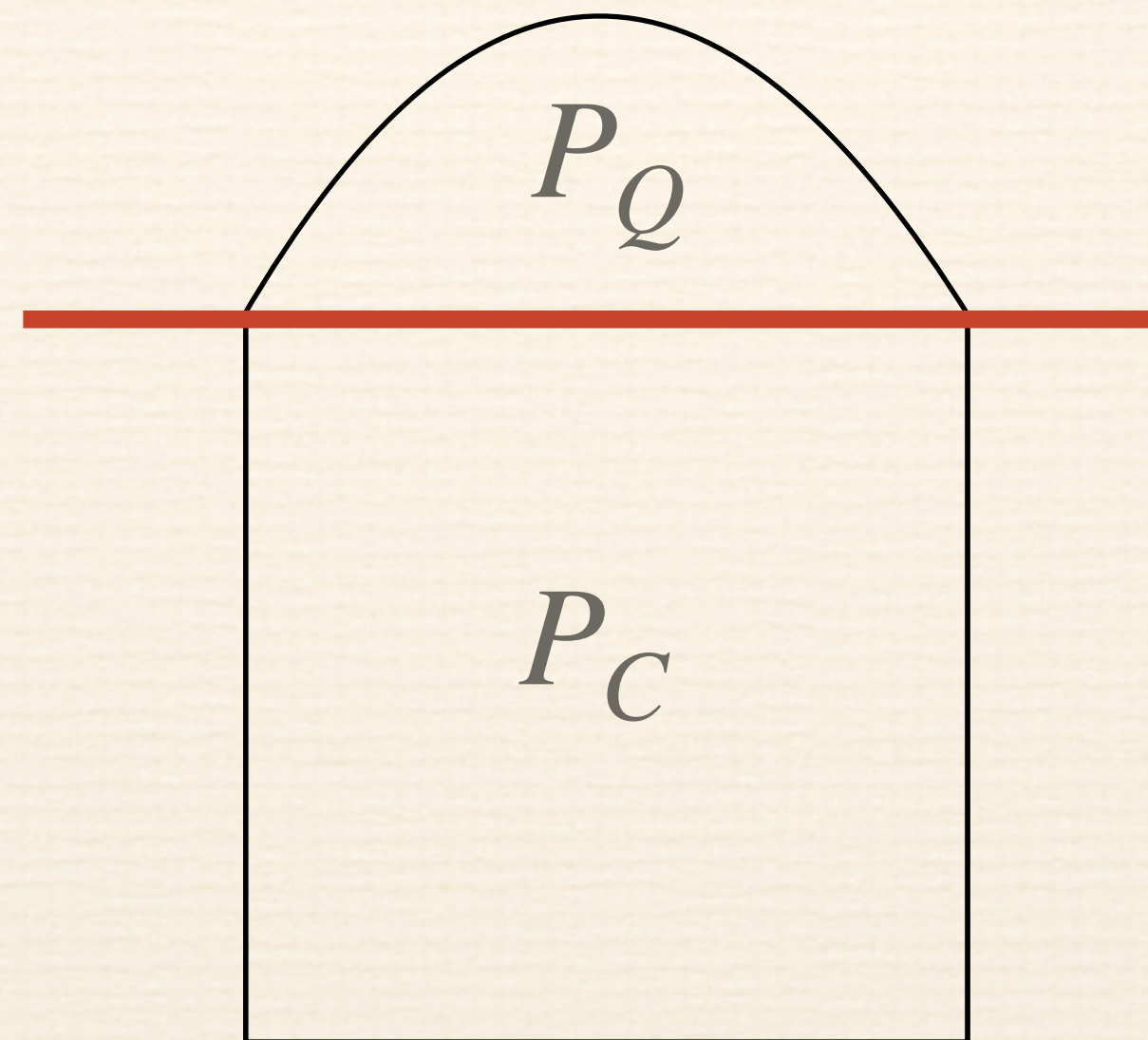
A lesson from Bell's theorem

$$P_C \subseteq P_Q$$

Bell's theorem

$$P_C \subsetneq P_Q$$

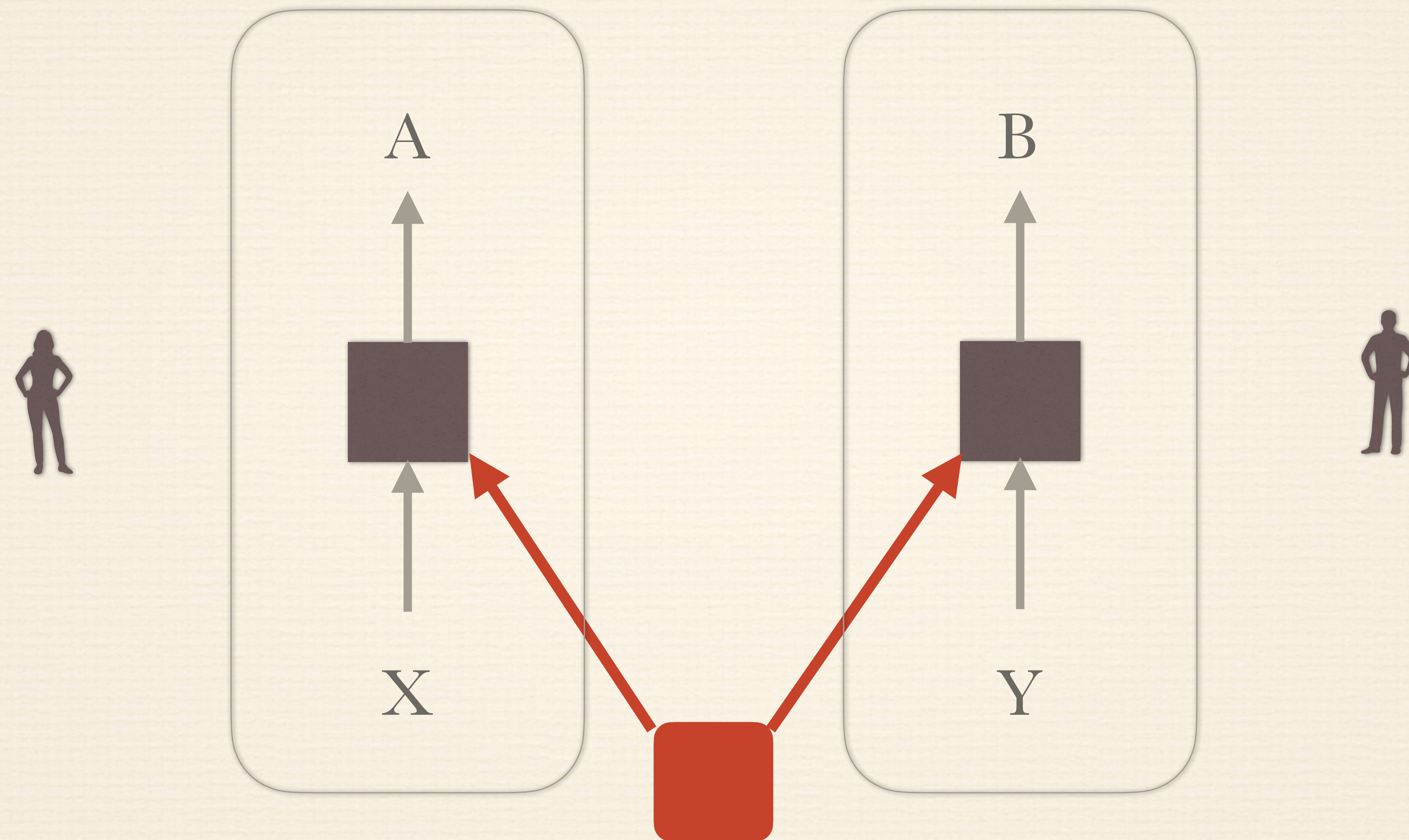
Bell inequality



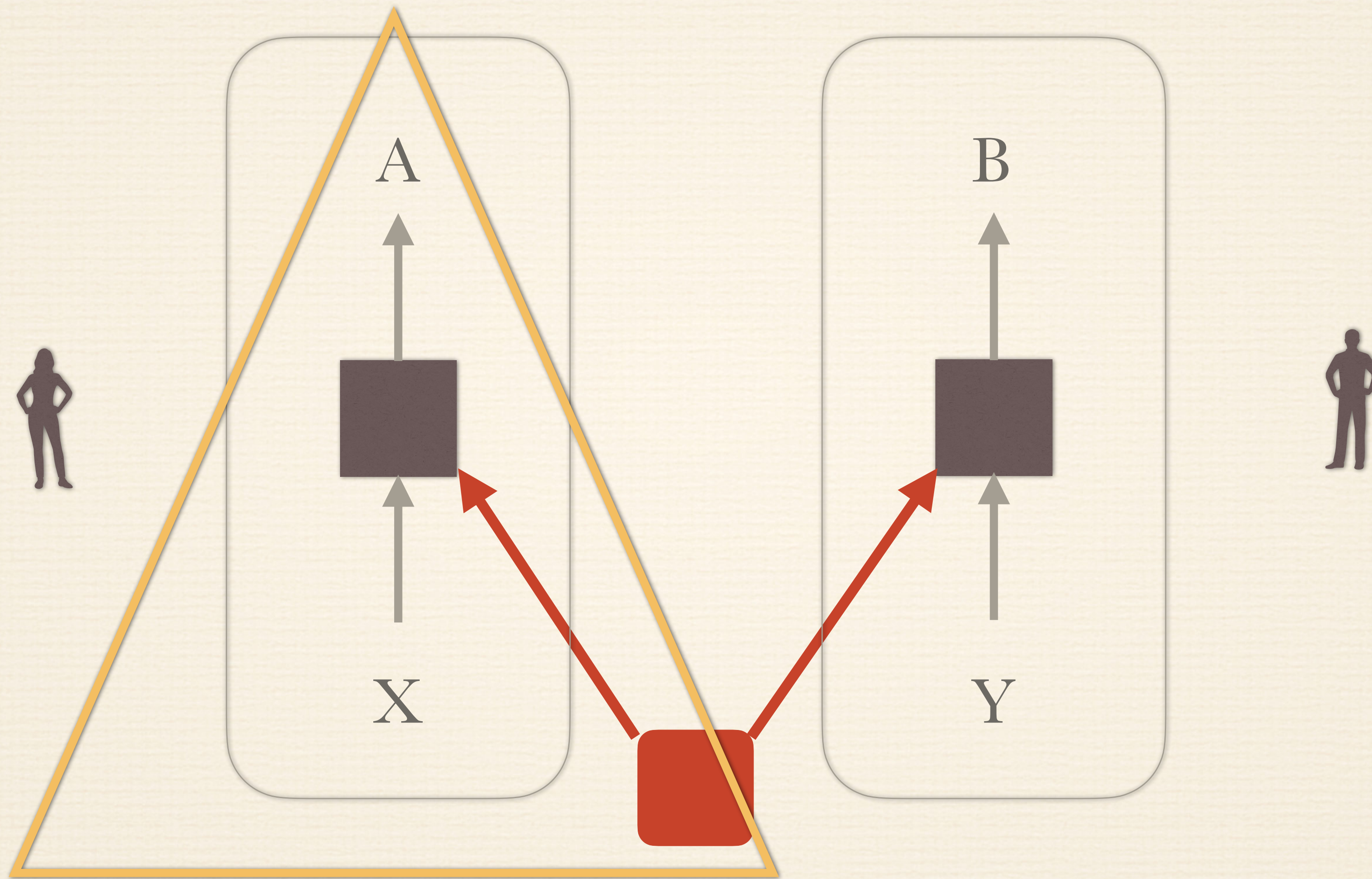
$$\langle A_0 B_0 \rangle + \langle A_0 B_1 \rangle + \langle A_1 B_0 \rangle - \langle A_1 B_1 \rangle \leq 2$$

(CHSH)

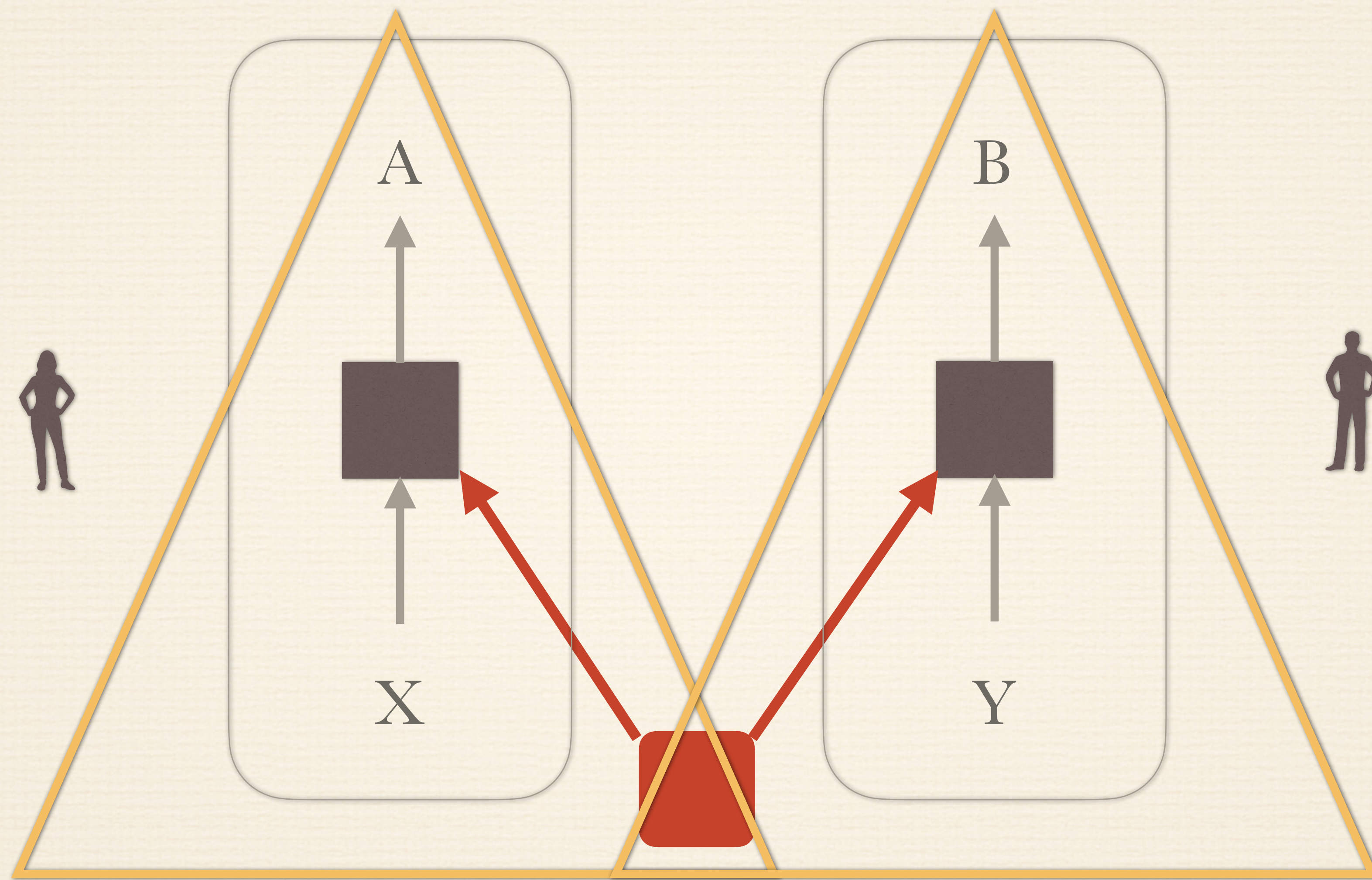
The lesson of Bell's theorem



The lesson of Bell's theorem



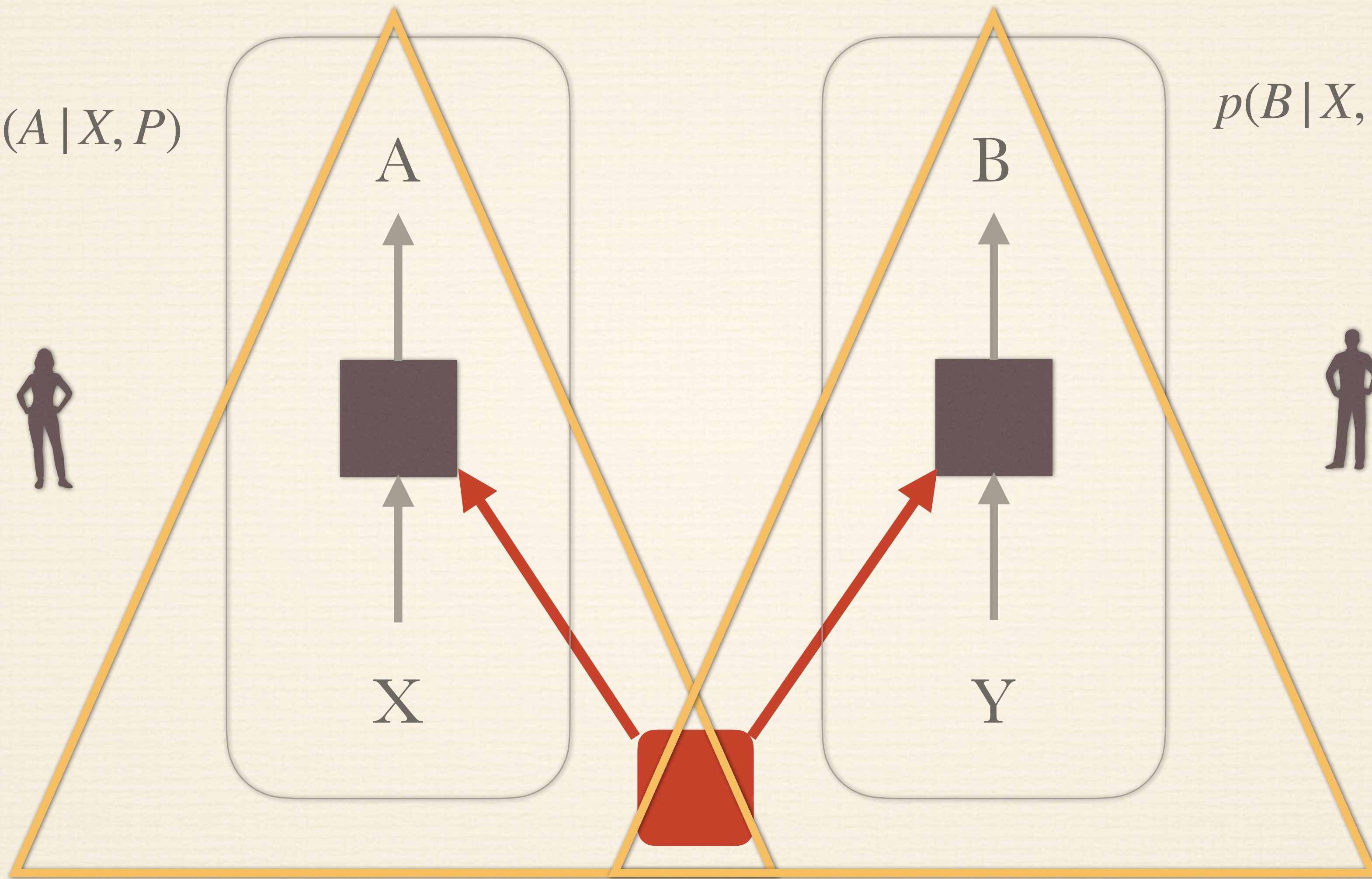
The lesson of Bell's theorem



No-signalling (signal locality)

$$p(A | X, Y, P) = p(A | X, P)$$

$$p(B | X, Y, P) = p(B | Y, P)$$



No-signalling

$$p(A | X, Y, P) = p(A | X, P)$$

$$p(B | X, Y, P) = p(B | Y, P)$$

No-signalling is purely operational

It is not the same as locality/ parameter independence

$$p(A | X, Y, \lambda) = p(A | X, \lambda)$$

A model which violates locality still leads to observed statistics which are no-signalling

The space of no-signalling correlations

The set of all correlations which obey the no-signalling condition

$$p(A | X, Y, P) = p(A | X, P)$$

$$p(B | X, Y, P) = p(B | Y, P)$$

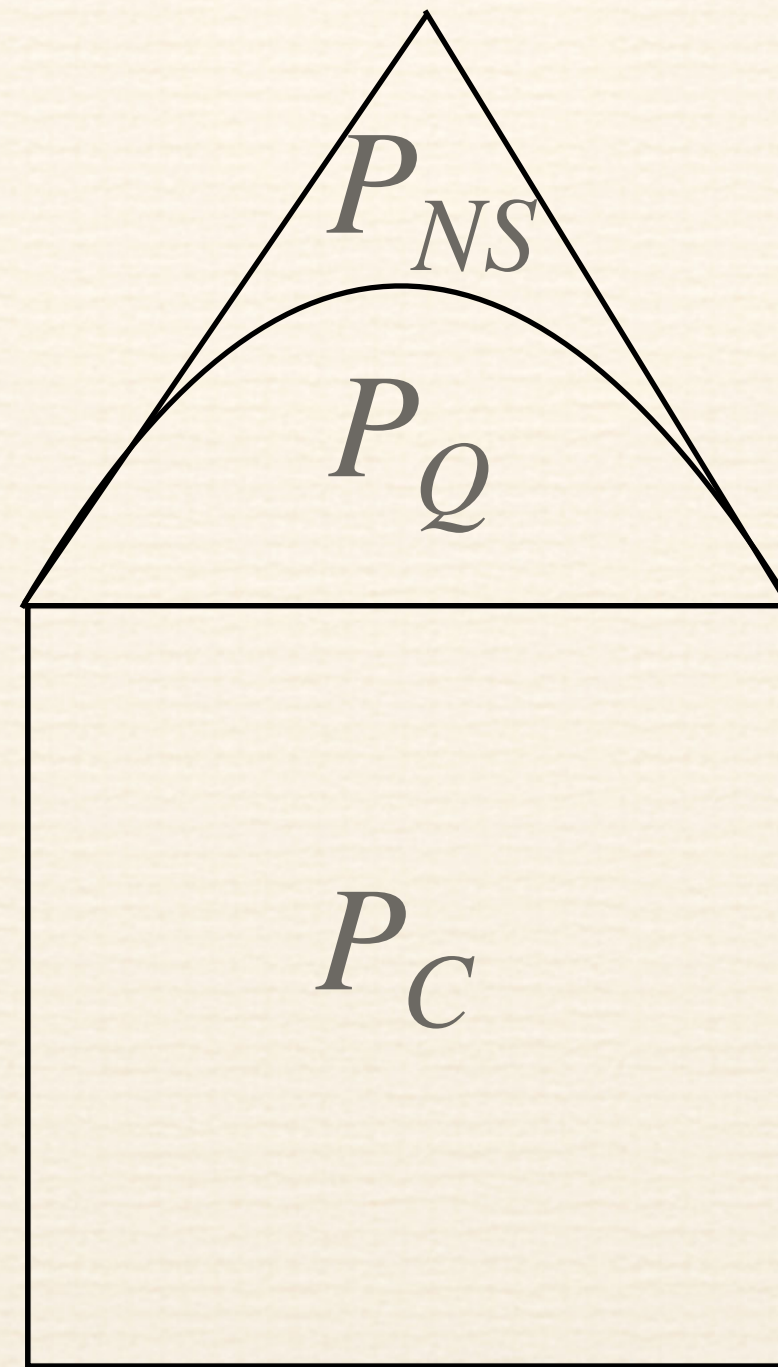
$$P_C \subsetneq P_Q \subseteq P_{NS}$$

$$P_Q \subsetneq P_{NS}?$$

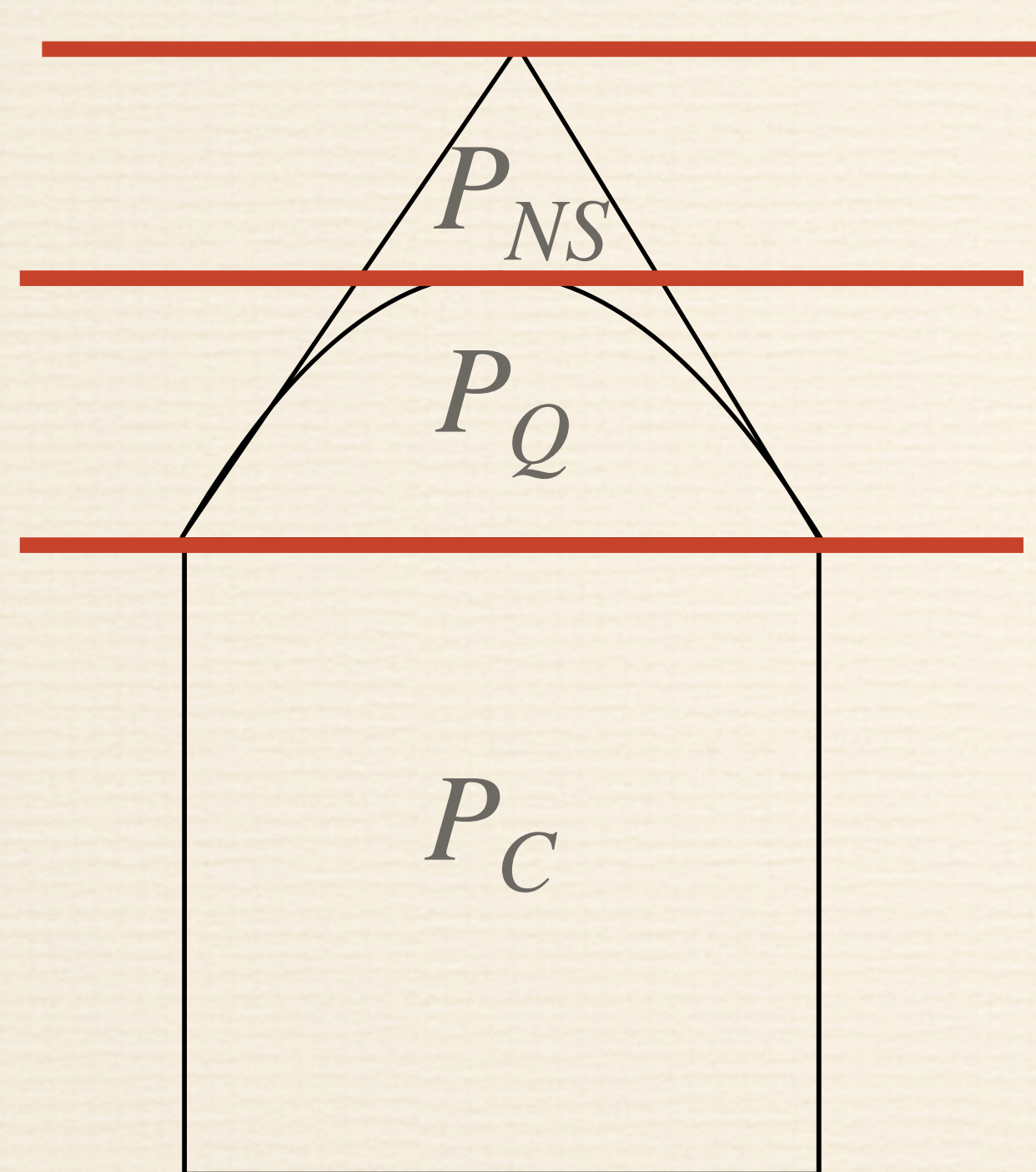
Does the no-signalling principle fully determine the set of quantum correlations?

$$P_Q \subsetneq P_{NS}$$

Cannot derive the set of quantum correlations from no-signalling principle alone



Does the no-signalling principle fully determine the set of quantum correlations?



$$\langle A_0 B_0 \rangle + \langle A_0 B_1 \rangle + \langle A_1 B_0 \rangle - \langle A_1 B_1 \rangle \leq 4$$

$$\langle A_0 B_0 \rangle + \langle A_0 B_1 \rangle + \langle A_1 B_0 \rangle - \langle A_1 B_1 \rangle \leq 2\sqrt{2}$$

$$\langle A_0 B_0 \rangle + \langle A_0 B_1 \rangle + \langle A_1 B_0 \rangle - \langle A_1 B_1 \rangle \leq 2$$

Can use inequalities as witnesses for membership in different sets

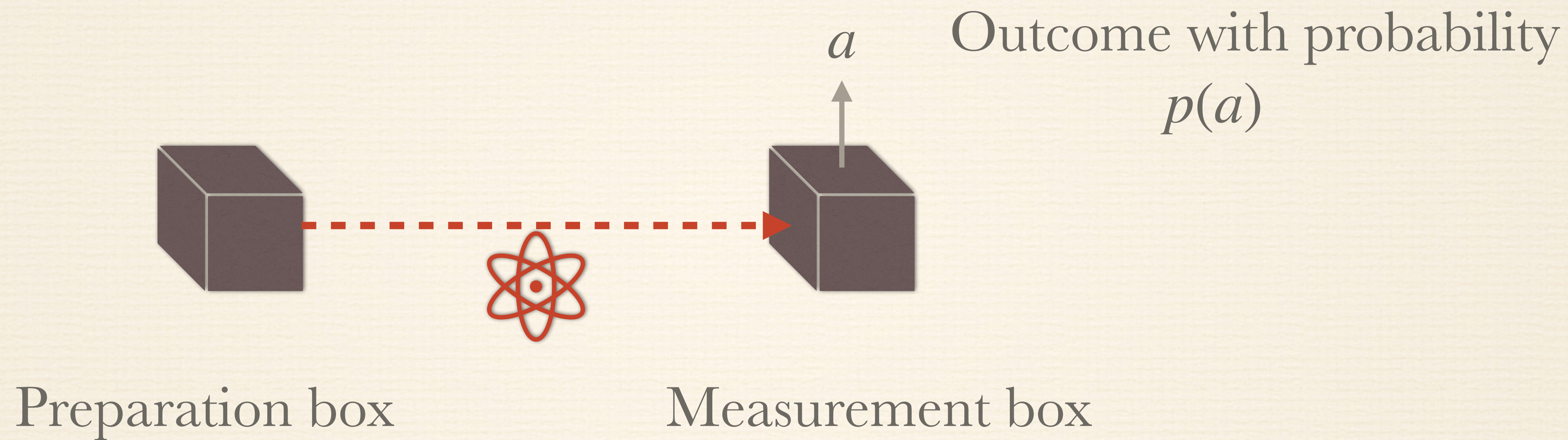
The general lessons

- ❖ A physical principle imposes constraints on the possible correlations
- ❖ The quantumly realisable correlations are a subset of the general correlations
- ❖ Inequalities can be used to witness membership in different sets
- ❖ Space-time structure does not single out quantum theory (in this case)

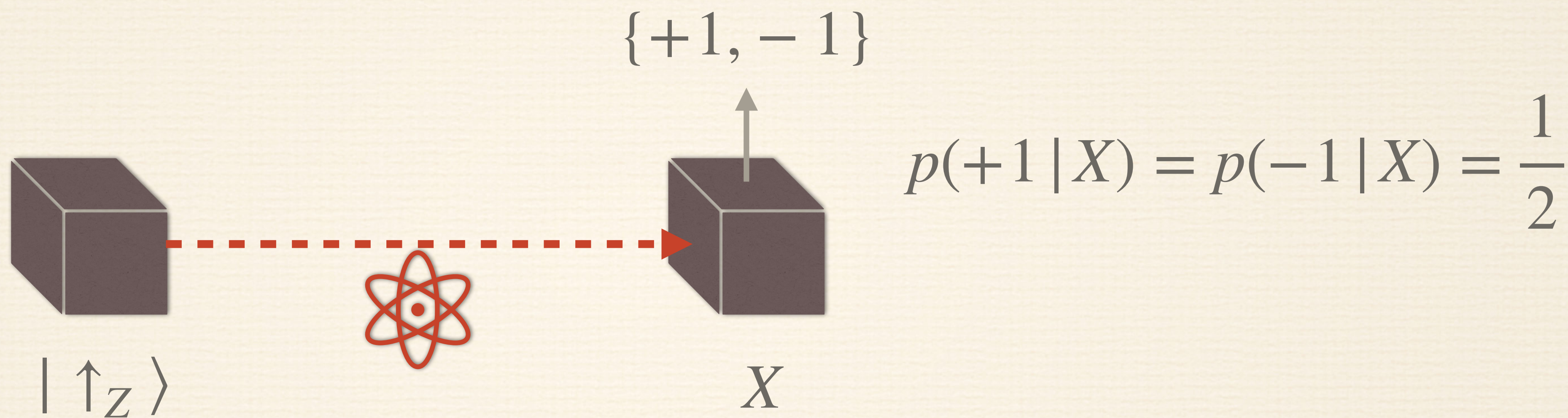
No-signalling is not the only relevant spatio-temporal feature!

- ❖ Spatio-temporal symmetry groups are a defining feature of space-time.
- ❖ Do space-time symmetries fully constrain probabilistic theories to be quantum or is there a gap?

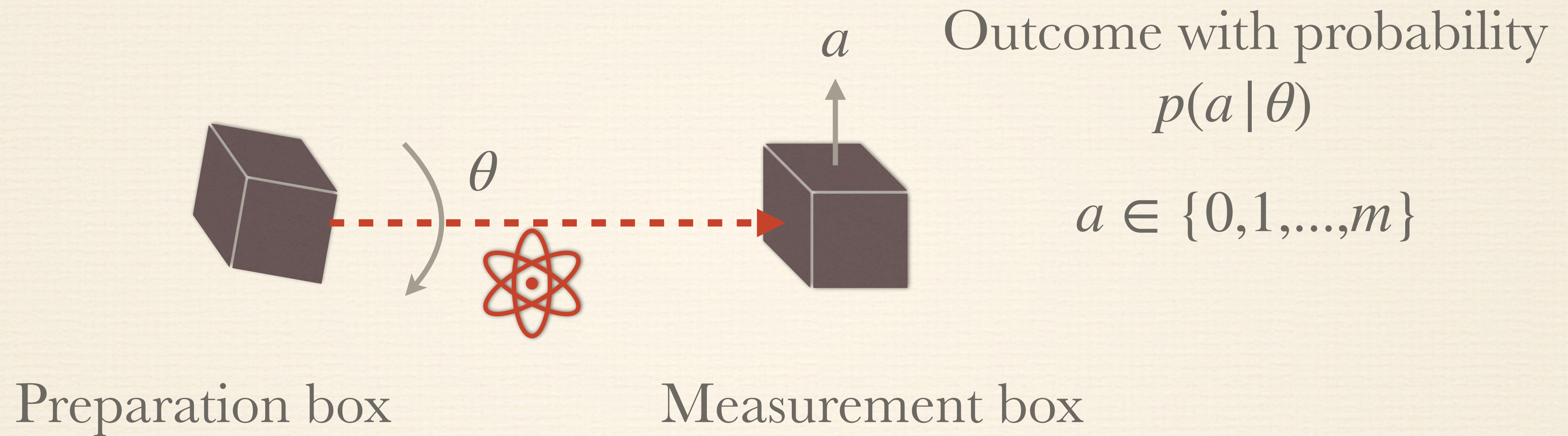
Prepare and measure scenario



Prepare and measure scenario



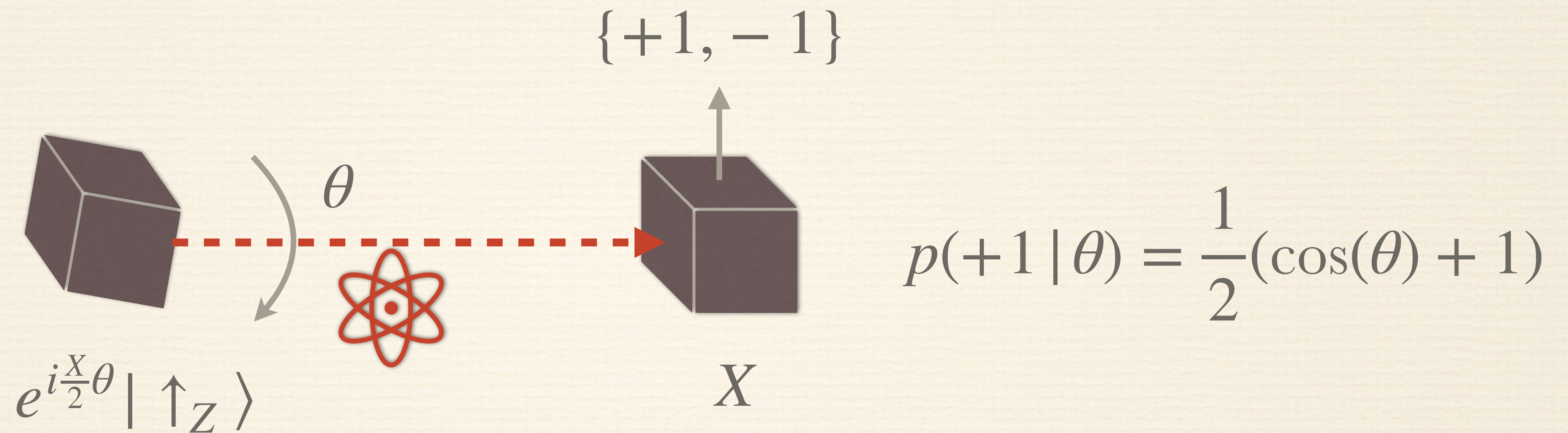
Rotation boxes



Preparation box has input $\theta \in [0, 2\pi)$

Typically input is $x \in \{0, 1, \dots, n\}$

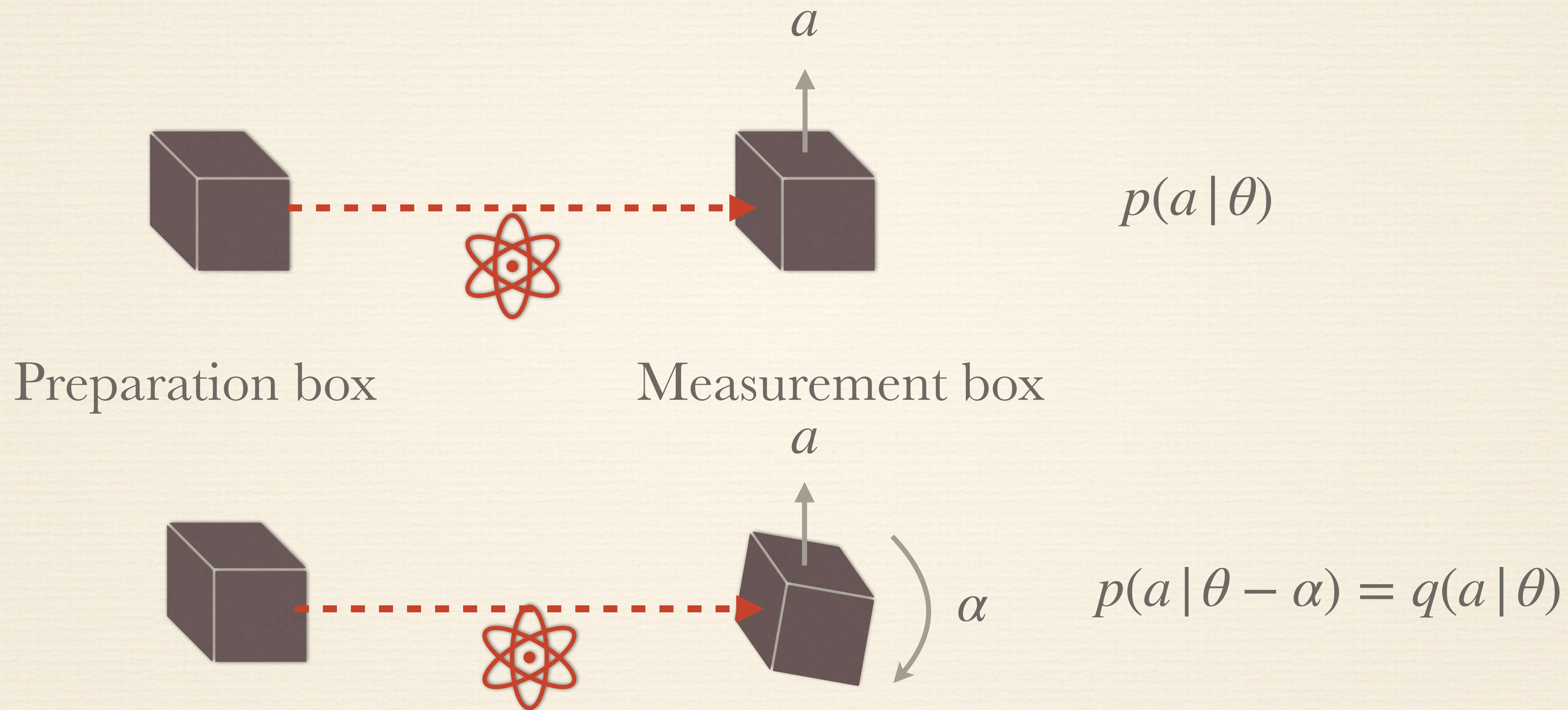
Rotation boxe: example



Rotation boxes

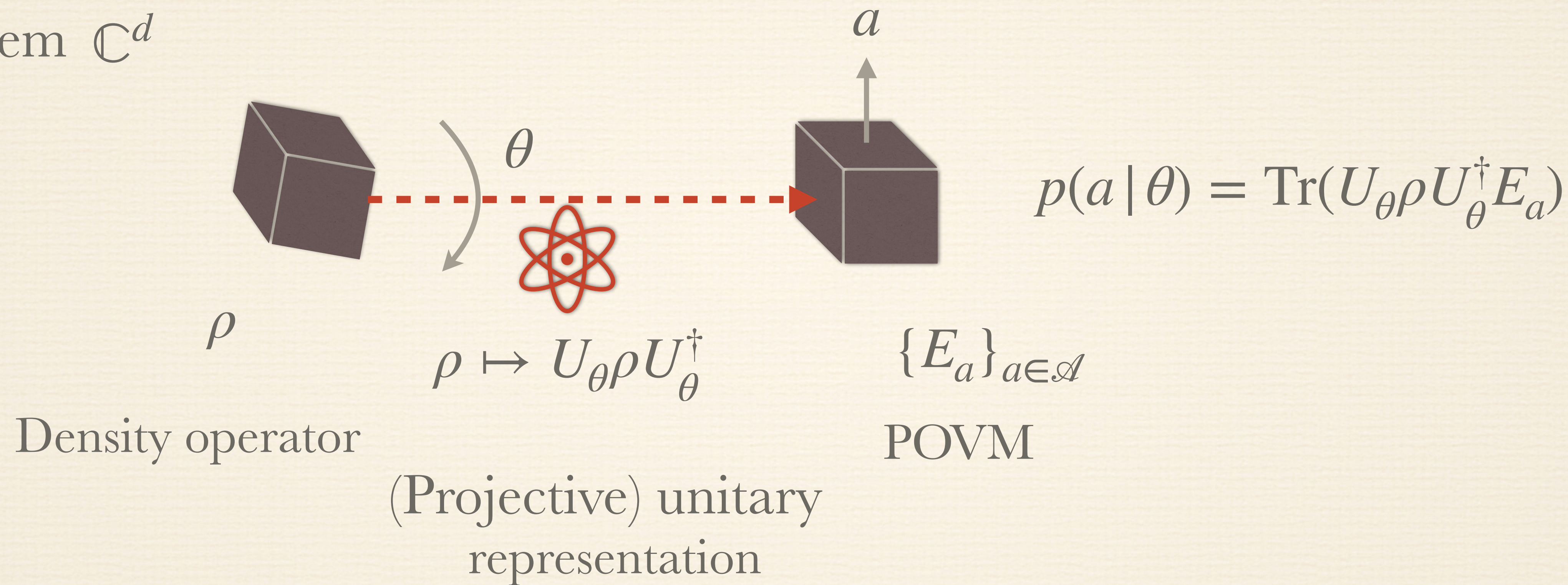
- ❖ A preparation device with input $\theta \in [0, 2\pi)$ and a measurement device with outcomes $a \in \{1, \dots, m\}$ generate a probability distribution $p(a | \theta) \in [0, 1]$
- ❖ Preparation device and measurement device are initially uncorrelated (e.g. do not share an entangled state): semi-device independent regime.

Prepare and measure scenario



Quantum rotation boxes

System \mathbb{C}^d



Quantum spin 1/2 correlations ($A = 2$)

$$U_\theta = e^{iZ\theta} = \begin{pmatrix} e^{-i\frac{\theta}{2}} & 0 \\ 0 & e^{i\frac{\theta}{2}} \end{pmatrix}$$

$$p(a | \theta) = \langle \psi | U_\theta E_a U_\theta^\dagger | \psi \rangle = c_0 + c_1 \cos(\theta) + s_1 \sin(\theta)$$

$$\mathcal{Q}_{\frac{1}{2}}^2 = \{p(a | \theta) = \langle \psi | U_\theta E_a U_\theta^\dagger | \psi \rangle | \rho, E_a\}$$

Set of spin 1/2 quantum correlations

General spin 1/2 correlations

$$\mathcal{R}_{\frac{1}{2}}^2 = \{p(a|\theta) = c_0 + c_1 \cos(\theta) + s_1 \sin(\theta) \mid c_0, c_1, s_1 \in \mathbb{R}, p(a|\theta) \in [0,1]\}$$

$$\mathcal{Q}_{\frac{1}{2}}^2 \subseteq \mathcal{R}_{\frac{1}{2}}^2$$

$$\mathcal{Q}_{\frac{1}{2}}^2 = \mathcal{R}_{\frac{1}{2}}^2?$$

Can every trigonometric polynomial of order 1 which is a valid probability be generated by a spin 1/2 quantum particle?

$$Q_{\frac{1}{2}}^2 = \mathcal{R}_{\frac{1}{2}}^2?$$

Yes!

Every $p(a | \theta) = c_0 + c_1 \cos(\theta) + s_1 \sin(\theta) \in [0,1]$ can be realised on a qubit

Spin J quantum correlations

General projective unitary representation of $\text{SO}(2)$:

$$U_\theta = \bigoplus_{j=-J}^{+J} \mathbb{1}_{N_j} e^{ij\theta}$$

$$J \in \left\{ 0, \frac{1}{2}, 1, \frac{3}{2}, \dots \right\}$$

Observe only integers or half integers can occur in the sum.

Spin J quantum correlations

$$U_\theta = e^i \begin{pmatrix} -2 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 2 \end{pmatrix}$$

$$U_\theta = e^i \begin{pmatrix} -\frac{5}{2} & 0 & 0 & 0 & 0 & 0 \\ 0 & -\frac{3}{2} & 0 & 0 & 0 & 0 \\ 0 & 0 & \frac{1}{2} & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{1}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{3}{2} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{3}{2} \end{pmatrix}$$

Spin J quantum correlations

$$p(a | \theta) = \text{Tr}(U_\theta \rho U_\theta^\dagger E_a)$$

$$U_\theta = \bigoplus_{j=-J}^{+J} \mathbb{1}_{N_j} e^{ij\theta}$$

$$\mathcal{Q}_J = \{p(a | \theta) \mid p(a | \theta) = \text{Tr}(U_\theta \rho U_\theta^\dagger E_a)\}$$

$$p(a | \theta) \in \mathcal{Q}_J \implies p(a | \theta) = c_0 + \sum_{j=1}^{2J} c_j \cos(j\theta) + s_j \sin(j\theta)$$

Theorem

$$p(a | \theta) \in \mathbb{Q}_J \implies \exists |\psi\rangle \in \mathbb{C}^{2J+1}$$

$$p(a | \theta) = \langle \psi | U_\theta^\dagger E_a U_\theta | \psi \rangle$$

where

$$U_\theta = e^{i\theta Z}, \quad Z = \text{diag}(-J, -J+1, \dots, J-1, J)$$

J integer or half-integer

Theorem

$$U_\theta = e^i \begin{pmatrix} -2 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 2 \end{pmatrix} \mapsto e^i \begin{pmatrix} -2 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 2 \end{pmatrix}$$

Spin J quantum correlations

$$p(a | \theta) = \langle \psi | U_\theta^\dagger E_a U_\theta | \psi \rangle$$

where

$$U_\theta = e^{i\theta Z}, \quad Z = \text{diag}(-J, -J + 1, \dots, J - 1, J), \quad |\psi\rangle \in \mathbb{C}^{2J+1}$$

$$p(a | \theta) = c_0 + \sum_{j=1}^{2J} c_j \cos(j\theta) + s_j \sin(j\theta)$$

$$\mathcal{Q}_J \subset \mathcal{Q}_{J+\frac{1}{2}}$$

General spin J correlations

$$\mathcal{R}_J := \left\{ p(a | \theta) = c_0 + \sum_{j=1}^{2J} c_j \cos(j\theta) + s_j \sin(j\theta) \mid p(a | \theta) \in [0,1] \right\}$$

$$\mathcal{Q}_J \subseteq \mathcal{R}_J$$

Main question of the work

When does $\mathcal{Q}_J = \mathcal{R}_J$?

Does the requirement of rotational covariance and fixed spin constrain probabilities to be quantum mechanical?

Analogous to question of whether the no-signalling constraint implies quantum probabilities

Spin 1 quantum correlations

$$U_\theta = \begin{pmatrix} e^{-i\theta} & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{i\theta} \end{pmatrix} \quad |\psi\rangle \in \mathbb{C}^3$$

$$p(a | \theta) = \langle \psi | U_\theta^\dagger E_a U_\theta | \psi \rangle$$

$$p(a | \theta) = c_0 + c_1 \cos(\theta) + s_1 \sin(\theta) + c_2 \cos(2\theta) + s_2 \sin(2\theta)$$

Trigonometric polynomial of degree 2

The case $J = 1$

$$p(a | \theta) = c_0 + c_1 \cos(\theta) + s_1 \sin(\theta) + c_2 \cos(2\theta) + s_2 \sin(2\theta)$$

$$p(a | \theta) = (c_0 \quad c_1 \quad s_1 \quad c_2 \quad s_2) \cdot \begin{pmatrix} 1 \\ \cos(\theta) \\ \sin(\theta) \\ \cos(2\theta) \\ \sin(2\theta) \end{pmatrix}$$

State space

$$\Omega_1 := \text{Conv} \left(\left\{ \begin{pmatrix} 1 \\ \cos(\theta) \\ \sin(\theta) \\ \cos(2\theta) \\ \sin(2\theta) \end{pmatrix} \mid \theta \in [0, 2\pi) \right\} \right)$$

Orbitope: convex hull of the orbit of a group acting on a vector

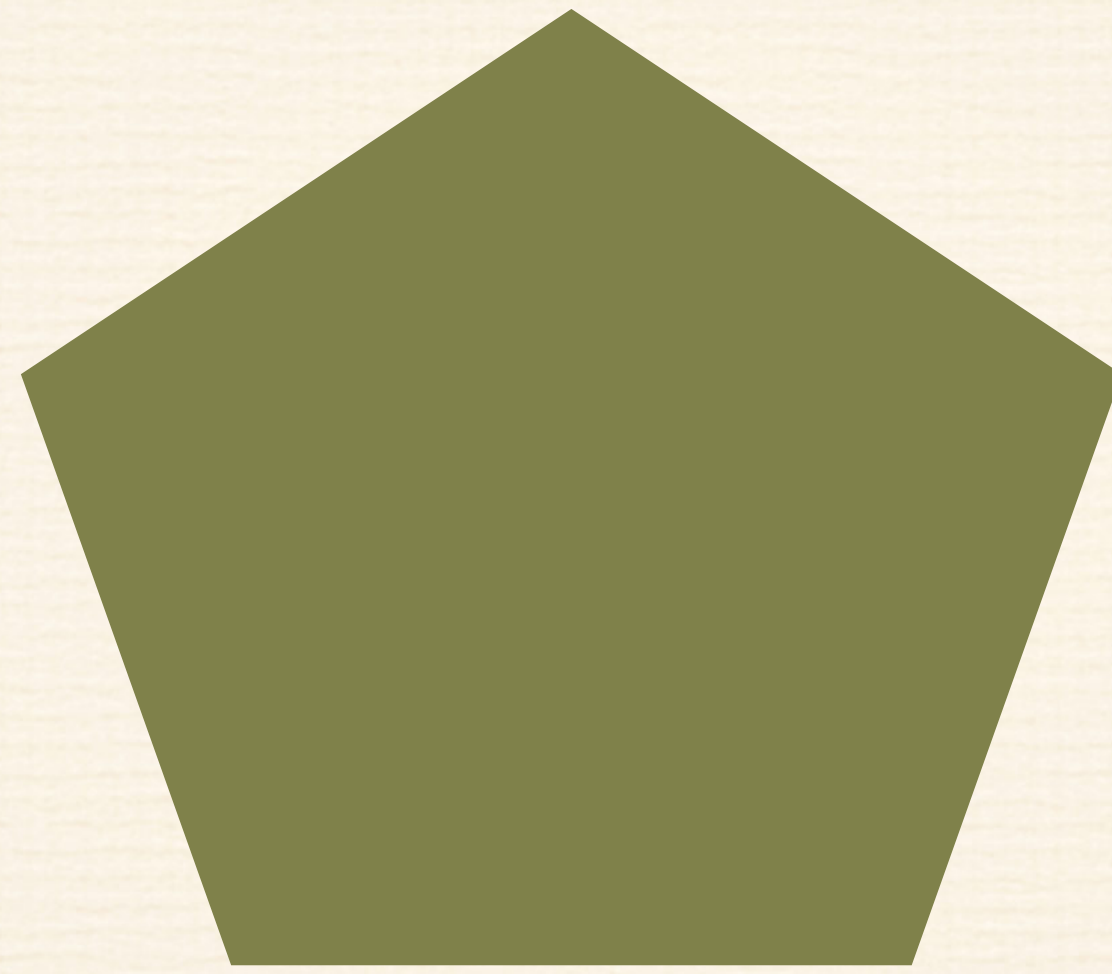
Effect space

$$\mathcal{E}_1 := \{(c_0 \ c_1 \ s_1 \ c_2 \ s_2) \mid (c_0 \ c_1 \ s_1 \ c_2 \ s_2) \cdot \begin{pmatrix} 1 \\ \cos(\theta) \\ \sin(\theta) \\ \cos(2\theta) \\ \sin(2\theta) \end{pmatrix} \in [0,1], \forall \theta \in [0,2\pi)\}$$

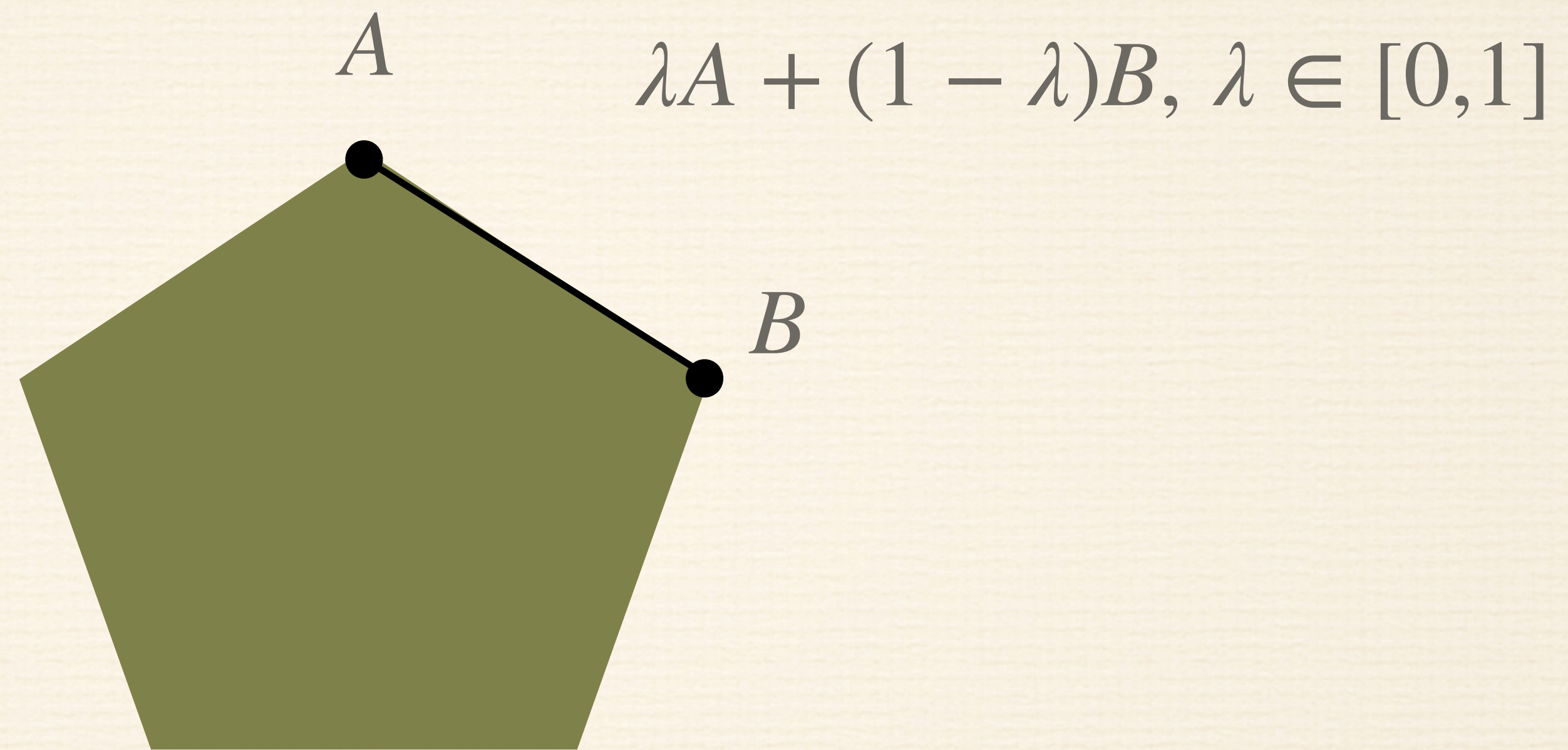
$$\mathcal{R}_1 := \{(c_0, c_1, s_1, c_2, s_2) \mid c_0 + c_1 \cos(\theta) + s_1 \sin(\theta) + c_2 \cos(2\theta) + s_2 \sin(2\theta) \in [0,1]\}$$

$$\mathcal{R}_1 \simeq \mathcal{E}_1$$

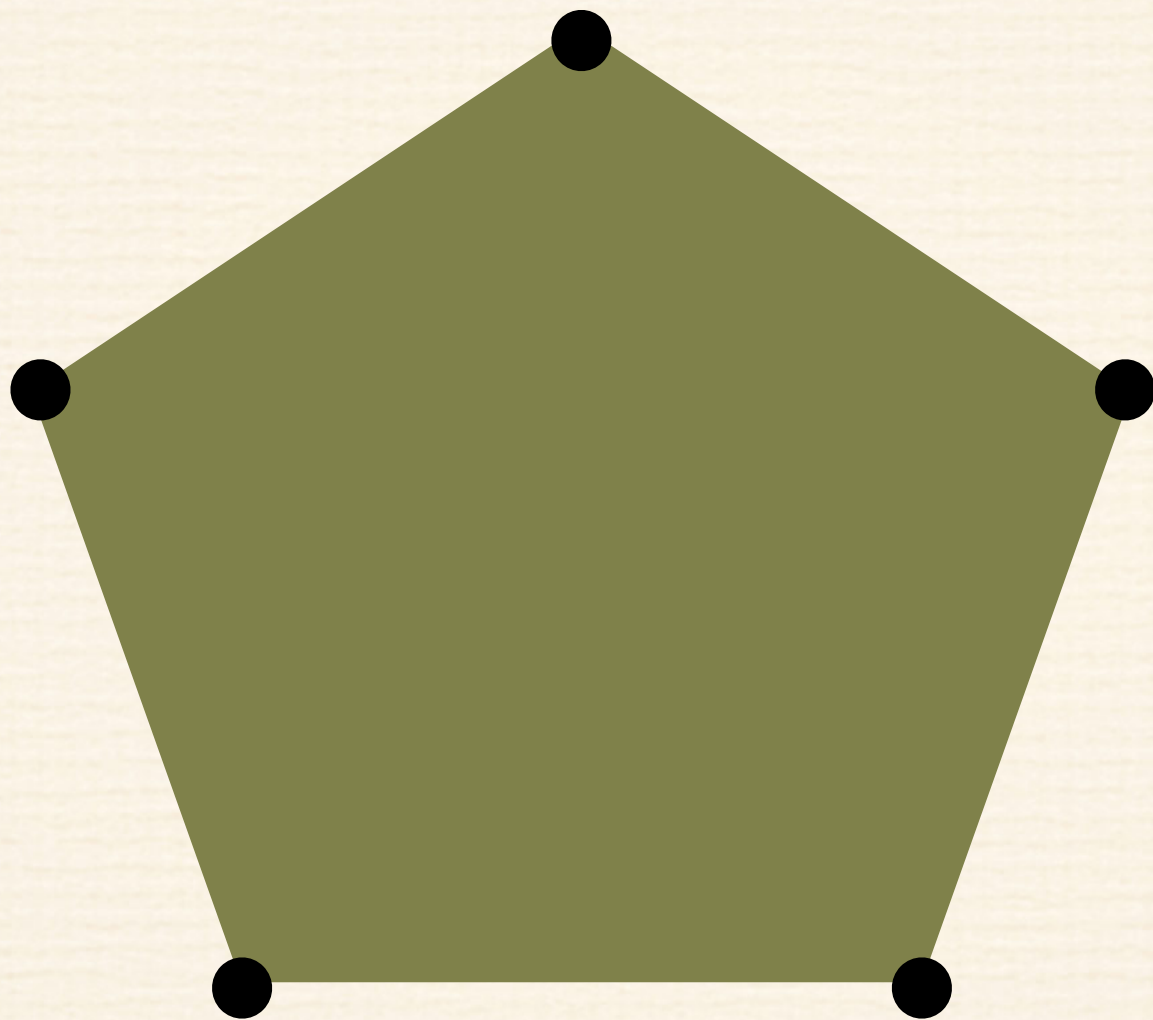
Convex sets



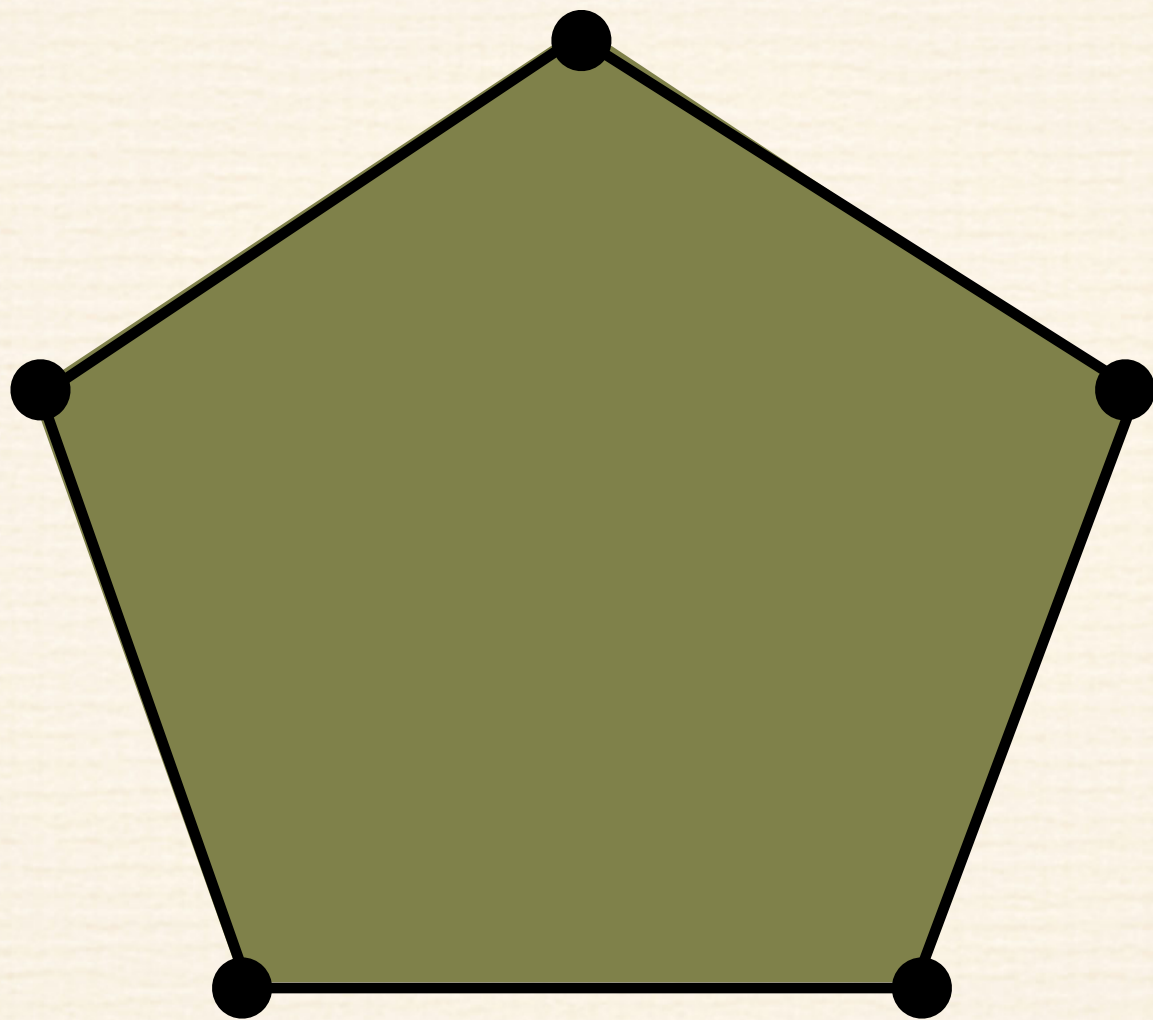
Convex sets



Convex sets: extremal points



Convex sets: faces



Carathéodory orbitope

$$\Omega_1 := \text{Conv} \left(\left\{ \begin{pmatrix} 1 \\ \cos(\theta) \\ \sin(\theta) \\ \cos(2\theta) \\ \sin(2\theta) \end{pmatrix} \mid \theta \in [0, 2\pi) \right\} \right)$$

Well characterised as a convex set (facial structure known)

Space of $J = 1$ correlations

Want to characterise the dual space

$$\mathcal{R}_1 := \{(c_0, c_1, s_1, c_2, s_2) \mid c_0 + c_1 \cos(\theta) + s_1 \sin(\theta) + c_2 \cos(2\theta) + s_2 \sin(2\theta) \in [0, 1]\}$$

Space of $J = 1$ correlations

Characterise all extremal points of \mathcal{R}_1

Prove that all extremal points of \mathcal{R}_1 are quantumly realisable with a spin 1 system

$$\mathcal{R}_1 = \mathcal{Q}_1$$

The case $J = 3/2$

$$p(a | \theta) = c_0 + c_1 \cos(\theta) + s_1 \sin(\theta) + c_2 \cos(2\theta) + s_2 \sin(2\theta) + c_3 \cos(3\theta) + s_3 \sin(3\theta)$$

Theorem

$$p(a | \theta) \in \mathcal{Q}_{\frac{3}{2}} \implies c_2 + s_3 \leq \frac{1}{\sqrt{3}} \approx 0.5774.$$

Uses semi-definite program and analogue to Almost Quantum correlations

The case $J = 3/2$

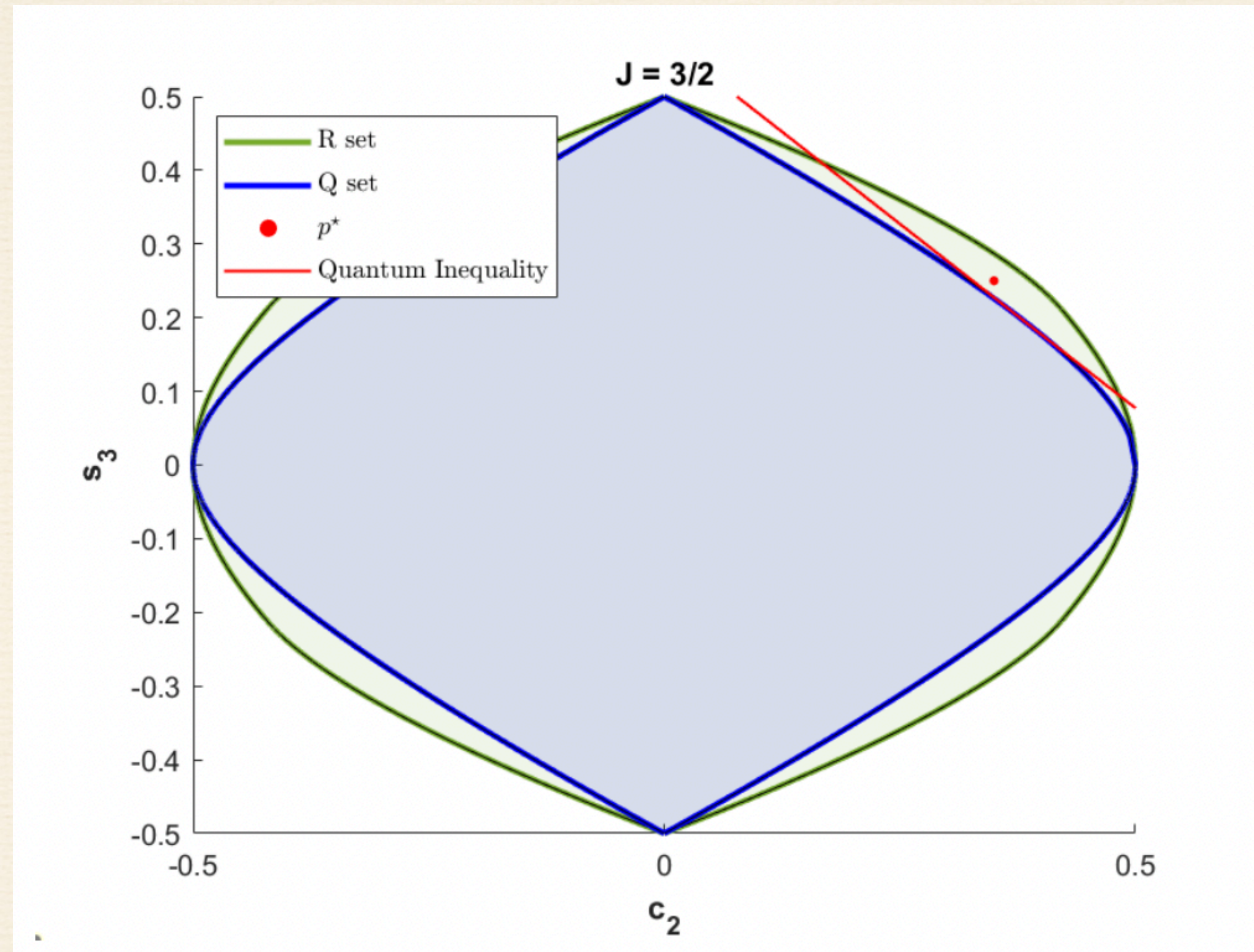
$$p^*(\theta) := \frac{2}{5} + \frac{1}{4} \sin \theta + \frac{7}{20} \cos(2\theta) + \frac{1}{4} \sin(3\theta) \in [0,1]$$

$$p^*(\theta) \in \mathcal{R}_{\frac{3}{2}}$$

$$c_2 + s_3 = 0.6$$

$$p(a | \theta) \in \mathcal{Q}_{\frac{3}{2}} \implies c_2 + s_3 \leq \frac{1}{\sqrt{3}} \lesssim 0.5774.$$

$$p^*(\theta) \notin \mathcal{Q}_{\frac{3}{2}}$$



$$p(a | \theta) = c_0 + c_1 \cos(\theta) + s_1 \sin(\theta) + c_2 \cos(2\theta) + s_2 \sin(2\theta) + c_3 \cos(3\theta) + s_3 \sin(3\theta)$$

The case $J \geq 2$

$$P \in \mathcal{Q}_J \implies (c_{2J-1} + s_{2J})[P] \leq \beta = \frac{1}{\sqrt{3}}$$

$$P_J^\star(\theta) := \sum_{k=-2J}^{2J} a_k e^{ik\theta}, \quad a_{-k} = \bar{a}_k \quad a_0 = \frac{1}{2} \quad a_{2J} = -\frac{i}{8}$$

$$s_{2J} + c_{2J-1} = 5/8 > \beta$$

$$a_{2J-1-2m} = \frac{3}{16} \left(-\frac{1}{4} \right)^m \quad m = 0, \dots, [J-1],$$

$$a_{2J-2-2l} = -\frac{3i}{32} \left(-\frac{1}{4} \right)^l \quad l = 0, \dots, [J-2].$$

Summary

$$\mathcal{R}_0 = \mathcal{Q}_0$$

$$\mathcal{R}_{\frac{1}{2}} = \mathcal{Q}_{\frac{1}{2}}$$

$$\mathcal{R}_1 = \mathcal{Q}_1$$

$$\mathcal{R}_J \subsetneq \mathcal{Q}_J, J \geq \frac{3}{2}$$

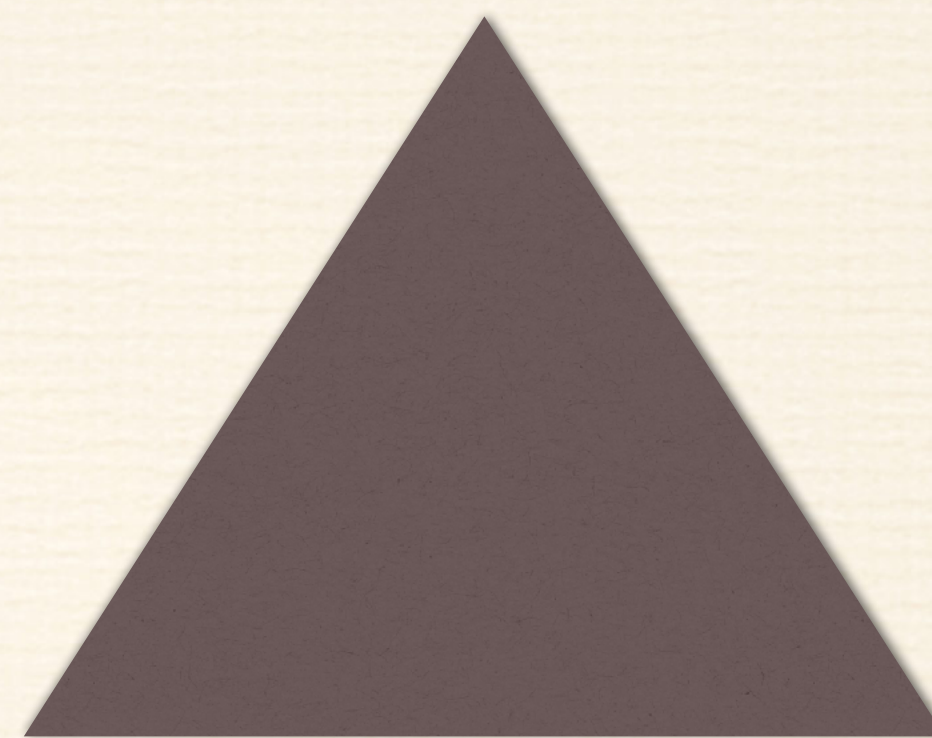
How important is the fixed J assumption

- ❖ Very!
- ❖ \mathcal{R}_J can be realised by an infinite spin quantum system $L^2(S_1)$
- ❖ Can also be realised by a classical system with configuration space S_1
- ❖ No finite dimensional classical systems have a non-trivial representation of $SO(2)$

Finite dimensional classical systems



$$\begin{pmatrix} p(0) \\ p(1) \end{pmatrix}$$



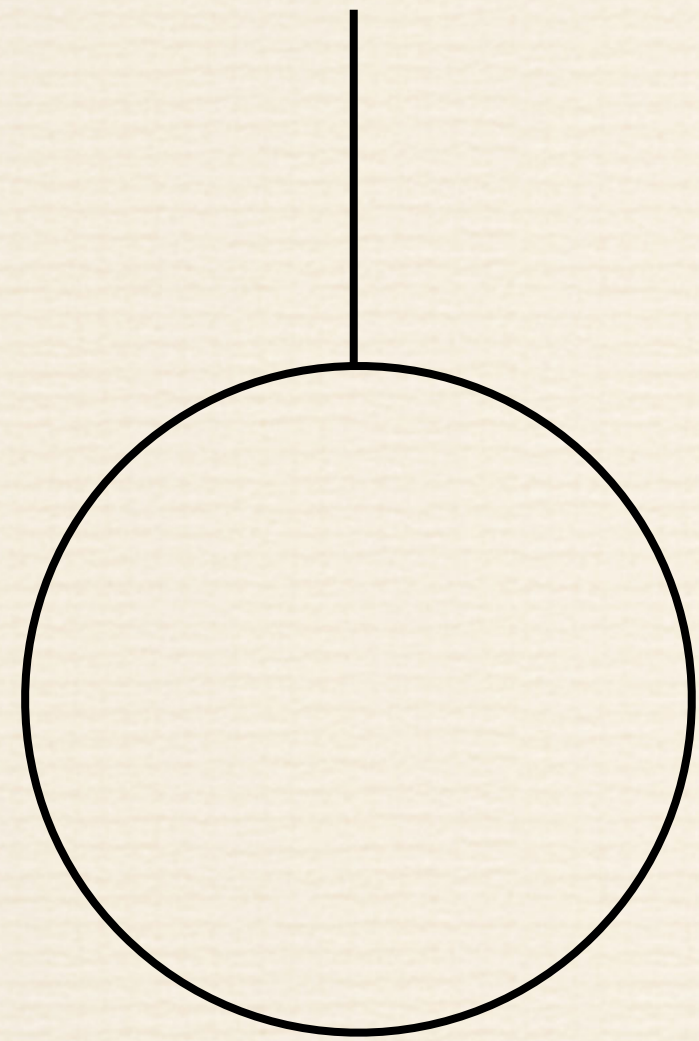
$$\begin{pmatrix} p(0) \\ p(1) \\ p(2) \end{pmatrix}$$

Infinite dimensional classical system

States are probability measures on circle.

Effects are response functions: $e : S^2 \rightarrow [0,1]$

$$\delta_\theta \mapsto \delta_{\theta+\theta'}$$



$$p(a | \theta) = \int p(a | \theta') \delta_\theta$$

Semi-device independence

- ❖ For single systems cannot have full device independence.
- ❖ Any statistics can be simulated by a large enough classical system.
- ❖ Impose some constraint: e.g Hilbert space dimension. In this case a notion of generalised spin.

Conclusion

- ❖ $SO(2)$ rotations do not constrain correlations to be quantum for $J \geq \frac{3}{2}$
- ❖ $SO(2)$ covariance + spin $1/2$ or 1 implies quantum correlations.
- ❖ Future work: extension to $SO(3)$ or Lorentz group.